

An Improved Artificial Bee Colony Algorithm with Elite-Guided Search Equations

Zhenxin Du^{1,2}, Dezhi Han¹, Guangzhong Liu¹,
Kun Bi¹, and Jianxin Jia¹

¹ College of Information Engineering,
Shanghai Maritime University,
Shanghai 201306, China
duzhenxinmail@163.com,
dzhan, gzliu, kunbi@shmtu.edu.cn,
jmakg23@163.com

² School of Computer Information Engineering,
Hanshan Normal University,
Chaozhou 521041, China
duzhenxinmail@163.com

Abstract. ABC_elite, a novel artificial bee colony algorithm with elite-guided search equations, has been put forward recently, with relatively good performance compared with other variants of artificial bee colony (ABC) and some non-ABC methods. However, there still exist some drawbacks in ABC_elite. Firstly, the elite solutions employ the same equation as ordinary solutions in the employed bee phase, which may easily result in low success rates for the elite solutions because of relatively large disturbance amplitudes. Secondly, the exploitation ability of ABC_elite is still insufficient, especially in the latter half of the search process. To further improve the performance of ABC_elite, two novel search equations have been proposed in this paper, the first of which is used in the employed bee phase for elite solutions to exploit valuable information of the current best solution, while the second is used in the onlooker bee phase to enhance the exploitation ability of ABC_elite. In addition, in order to better balance exploitation and exploration, a parameter P_o is introduced into the onlooker bee phase to decide which search equation is to be used, the existing search equation of ABC_elite or a new search equation proposed in this paper. By combining the two novel search equations together with the new parameter P_o , an improved ABC_elite (IABC_elite) algorithm is proposed. Based on experiments concerning 22 benchmark functions, IABC_elite has been compared with some other state-of-the-art ABC variants, showing that IABC_elite performs significantly better than ABC_elite on solution quality, robustness, and convergence speed.

Keywords: artificial bee colony, search equations, exploration ability, exploitation ability.

1. Introduction

Many difficult problems can be expressed as optimization problems in real world. Among these problems, however, most of them are often characterized as non-convex, discontinuous or non-differentiable. It is difficult to solve such problems with traditional optimization methods. As one of the most popular evolutionary algorithms (EAs), the artificial bee

colony (ABC) algorithm has shown its superior performance in dealing with optimization problems [13], such as the flow shop scheduling problem [22], filter design problem [4], and vehicle routing problem [26].

However, ABC also suffers from slow convergence speed and easily being trapped by local optimum. This is mainly caused by its solution search equations, which is good at exploration but poor at exploitation [1, 5, 11, 21, 28]. In fact, the exploration and the exploitation contradict each other. In order to achieve the excellent performance in solving optimization problems, the main challenge is how to maintain a delicate balance between the exploration and exploitation during the search process [5], and numerous ABC variants have been proposed to improve ABC's performance in this respect. Zhu et al. [28] proposed a gbest-guided ABC (GABC) to exploit the information of the global best individual (*gbest*). In the ABC/best/1 algorithm [10], the information of *gbest* is also used to enhance the exploitation ability of ABC. Wang et al. [27] proposed a multi-strategy ensemble ABC algorithm, which employs three distinct search equations to form a strategy pool and adaptively choose one of them in different search strategy, thus the balance between exploration and exploitation can be maintained.

Recently, Cui et al. [5] proposed an artificial bee colony algorithm (the ABC_elite) with two novel search equations. One search equation incorporates the beneficial information of elite solutions, which is applied to the employed bee phase, the other one not only exploits the valuable information of the elite solutions, but also employs that of the current best solution used in the onlooker bee phase. Furthermore, the ABC_elite is embedded into depth-first framework to form a new variant of ABC, the DFSABC_elite. Experimental results show that ABC_elite and DFSABC_elite are very effective compared with other recently proposed ABC variants.

However, there still exist some drawbacks in the ABC_elite/DFSABC_elite. Firstly, in the employed bee phase of ABC_elite, the elite solutions employ the same equation as ordinary solutions, easily resulting in the low success rate for the elite solutions because of relatively large disturbance amplitude. In the search equation of ABC_elite, a candidate solution can be treated as the lead individual to explore the search space and produced by adding a scaled disturbance vector to a base vector. But we can draw inspiration from many EAs that the better the fitness value is, the smaller the disturbance amplitude is [3, 17–20, 24]. In a word, the disturbance of ordinary and elite solutions should be treated in a different way. Secondly, in the onlooker bee phase in ABC_elite, the exploitation ability of ABC_elite is still insufficient, especially in the latter half stage of a search process. To balance the exploitation and exploration ability, the search equation in the onlooker bee phase of ABC_elite uses the difference between *gbest* and a randomly selected ordinary individual X_k as a disturbance vector, which is suitable for the ABC_elite to maintain a good balance between exploration and exploitation in the early stage of a search process, but easily leads to the insufficiency of exploitation ability in the latter half stage of a search process, because the ratio between exploration and exploitation is not constant. Generally speaking, EAs focus on exploration at the early stage and focus on exploitation at the latter half stage, which can also be seen in some other EAs [25].

Based on the above-mentioned considerations, an improved ABC_elite, the IABC_elite has been put forward in the paper. Firstly, inspired by bare-bones particle swarm optimization (PSO) [15], a novel search equation for the elite solutions in the employed bee phase is designed to generate a new candidate solution to exploit the valuable information of

the current best solution. Secondly, a novel search equation is proposed in the onlooker bee phase of ABC_elite to further enhance the exploitation ability of ABC_elite. In addition, in order to obtain a better balance between exploitation and exploration, a parameter P_o is used in the onlooker bee phase to choose a search equation between the original one of ABC_elite or the newly-proposed one. The simplicity of ABC_elite is maintained in the proposed IABC_elite. Moreover, the experiment results concerning 22 benchmark functions have demonstrated its effectiveness in solving complex numerical optimization problems when compared with the ABC_elite, DFSABC_elite and other ABC variants.

The rest of this paper is organized as follows. In Section 2, the original ABC algorithm is presented. In Section 3, the most recently developed ABC variants, the ABC_elite algorithm, is reviewed, which is the basis of the proposed algorithm IABC_elite. In Section 4, the IABC_elite algorithm is proposed based on the two novel solution search equations (i.e., the Eq.(12) and Eq. (13)) and the new introduced search equation selective probability P_o . Section 5 presents and discusses the experimental results. Finally, the conclusion is drawn in Section 6.

2. The original ABC Algorithm

Inspired by the waggle dancing and foraging behaviors of honey bee colonies, the ABC algorithm has been developed. The basic ABC algorithm consists of four sequentially realized phases, i.e. the initialization, the employed bee, the onlooker bee and the scout bee. After the initialization phase, the ABC turns into a loop of the employed bee phase, onlooker bee phase and scout bee phase until the termination condition is satisfied. The details of each phase are described as follows:

Initialization phase: At the beginning of the ABC, the initial food sources are generated randomly according to Eq. (1).

$$X_{i,j} = X_j^L + rand_j(X_j^U - X_j^L) \tag{1}$$

where $i = \{1, 2, \dots, SN\}$, $j = \{1, 2, \dots, D\}$, SN is the number of food sources, and SN is equal to the number of employed bees and onlooker bees. D is the dimensionality (variables) of the search space. X_j^L and X_j^U are the lower and upper bounds of the j th variable respectively. $rand_j$ is a random real number in range of [0,1]. Then, the fitness values of the food sources are calculated by Eq. (2).

$$\begin{aligned} fit_i &= \frac{1}{1+f(X_i)}, f(X_i) \geq 0 \\ fit_i &= 1+|f(X_i)|, f(X_i) < 0 \end{aligned} \tag{2}$$

where fit_i is the fitness value of the i th food source X_i , and $f(X_i)$ is the objective function value of food source X_i for the optimization problem. In addition, parameter *limit* should be determined and the parameter *counter*, which records the number of unsuccessful updates, is set to 0 for each food source.

Employed bee phase: Each employed bee will fly to a distinct food source and try to find out a candidate food source in the neighborhood of the corresponding parent food source by using Eq. (3).

$$V_{i,j} = X_{i,j} + \phi_{i,j} \times (X_{i,j} - X_{k,j}) \tag{3}$$

where i, k are picked up from $\{1, 2, \dots, SN\}$ randomly, j is randomly selected from $\{1, 2, \dots, D\}$, $V_{i,j}$ is the j th dimension of the i th candidate food source (new solution). $X_{i,j}$ is the j th dimension of the i th food source; $X_{k,j}$ is the j th dimension of the k th food source, $\phi_{i,j}$ is a random real number in the range of $[-1, 1]$.

After creating a new food source, the fitness value of the candidate food source is calculated by Eq. (2). If the fitness value of candidate food source is better than that of the old one, the candidate food source will replace the old one and is memorized by its employed bee, and the *counter* of the food source is reset to 0. Otherwise, the *counter* is increased by 1.

Onlooker bee phase: According to the quality information of the food source shared by the employed bees, each onlooker bee will fly to a food source X_s , which is selected by the roulette wheel, in order to find a candidate food source by using Eq. (3). The selection probability of the i th food source is calculated as Eq. (4). Obviously, the better the fitness value is, the bigger the selection probability is. If a candidate food source V_s obtained by the onlooker bee is better than the food source X_s , X_s will be replaced by the new one, and its *counter* is reset to 0. Otherwise, its *counter* is increased by 1.

$$P_i = \frac{fit_i}{\sum_{i=1}^{SN} fit_i} \quad (4)$$

Scout bee phase: The food source with the highest *counter* value is selected and its *counter* value is compared with a predefined *limit* value. If its *counter* value is bigger than the *limit* value, the selected food source will be abandoned by its employed bee, and then this employed bee will become a scout bee to seek a new food source randomly according to Eq. (1). After the new food source is obtained, the corresponding *counter* value is reset to 0, and the scout bee returns to an employed bee. Note that if the j th variable $V_{i,j}$ of the i th candidate food source violates the boundary constraints in the employed bee phase and the onlooker bee phase, it will be reset according to the Eq. (1).

3. The improved ABC variants

As is known to all, the remarkable feature of the ABC depends on its solution search equation that differentiates the algorithm from other EAs. The search equations of ABC play a key role in balancing the exploration and exploitation ability during a search process. However, the search equation of ABC (see Eq. (3)) performs well in exploration but poorly in exploitation [5, 28]. In order to solve this problem, numerous search equations have been proposed to improve ABC's performance.

In the beginning, Zhu et al. [28] proposed a new search equation (GABC), as shown in the Eq. (5) with the information of the global best (*gbest*) to enhance the exploitation ability of the ABC. However, as claimed in [11], the Eq. (5) may cause an oscillation phenomenon and thus may degrade convergence, since the guidance of the last two terms may be in opposite directions. Then Gao et al. [9] proposed a new search equation, as shown in the Eq. (6). Although the information of the current best solution is utilized in the Eq. (6). The candidate solution generated around X_{best} constantly determines its emphasis on exploitation. Therefore, in order to solve these problems in Eq. (5) and (6), they [11] designed a new search equation in the Eq. (7) without any bias to any search direction and under the guidance of the only one term $\phi_{i,j} \cdot (r_{1,j} - X_{r2,j})$ the oscillation phenomenon can

be effectively avoided. Therefore, the search ability of ABC is improved significantly by Eq. (7). From Eq. (5) to Eq. (7), $\psi_{i,j}$ is a uniform random number in $[0,1.5]$. $X_{best,j}$ is the j th element of the current best solution. Index k is an integer randomly chosen from $\{1, 2, \dots, SN\}$ and different from the base index i . $r1$ and $r2$ are two distinct integers randomly picked up from $\{1, 2, \dots, SN\}$, and both of them are different from the base index i .

$$V_{i,j} = X_{i,j} + \phi_{i,j} \times (X_{i,j} - X_{k,j}) + \psi_{i,j}(X_{best,j} - X_{i,j}) \tag{5}$$

$$V_{i,j} = X_{best,j} + \phi_{i,j} \times (X_{i,j} - X_{r1,j}) \tag{6}$$

$$V_{i,j} = X_{r1,j} + \phi_{i,j} \times (X_{r1,j} - X_{r2,j}) \tag{7}$$

Although the Eq. (7) can significantly improve the search ability of ABC, the beneficial information of the population is not fully exploited. Recently, in order to further improve the performance of ABC by utilizing the useful information of some good solutions, Cui et al [5] proposed two novel search equations as follows:

$$V_{i,j} = X_{e,j} + \phi_{i,j} \times (X_{e,j} - X_{k,j}) \tag{8}$$

$$V_{e,j} = \frac{1}{2}(X_{e,j} + X_{best,j}) + \phi_{e,j} \times (X_{best,j} - X_{k,j}) \tag{9}$$

where X_e is randomly chosen from the elite solutions (the top $p.SN$ solutions in current population, $0 < p < 1$). X_k is randomly chosen from current population. e unequal to k and k unequal to i , X_{best} is the current best solution. $\phi_{i,j}$ and $\phi_{e,j}$ are two random real numbers in $[-1,1]$. In the ABC_elite, Eq. (8) is used in the employed bee phase, making all solutions learn from elite solutions, and the Eq. (9) is employed in the onlooker bee phase, allowing elite solutions to learn from the current best solution. Moreover, under the guidance from only one term, the Eq. (8) and Eq. (9) can also easily avoid the oscillation phenomenon. In this way, the ABC_elite algorithm can better balance the exploration and exploitation and has shown better performance when compared with other state-of-the-art ABC variants, such as the GABC [28], CABC [11], Best-so-far ABC [2], MABC [10], qABC [14], EABC [12], ABCVSS [23], BABC [8].

4. The proposed Algorithm

From the aforementioned analysis, although ABC_elite has shown excellent performance, it still has some drawbacks. In ABC_elite, all individuals utilize the same search equation in different search stages. To overcome the limitation and enhance the performance of ABC_elite, two novel search equations and a new probability P_o are proposed in this paper. In Section 4.1, inspired from some state-of-the-art PSO variants [15, 18, 19], a novel search equation is proposed based on labor-division strategy in which the elite individuals utilize the new search equation to enhance the exploitation ability. In section 4.2, a more exploitive search equation is proposed. Meanwhile, a probability P_o is introduced to decide which equation is to be selected, the new search equation or the original one. At the end of this section, the complete proposed algorithm is shown.

4.1. The Improvement in Employed Bee Phase

In the Eq. (8), the first term $X_{e,j}$ in the right-hand side is called the base vector, and the second term $\phi_{i,j} \cdot (X_{e,j} - X_{k,j})$ can be called the disturbance vector. Thus, the candidate solution $V_{i,j}$ in the left hand of the Eq. (8) can be treated as a disturbance to the base vector $X_{e,j}$. However, the disturbance amplitude is obviously too large for elite individuals. The reason is that in the disturbance vector $\phi_{i,j} \cdot (X_{e,j} - X_{k,j})$, X_e is an elite solution and X_k is a randomly selected ordinary solution. Generally speaking, the fitness of X_e is far better than X_k , thus $\phi_{i,j} \cdot (X_{e,j} - X_{k,j})$ is moderate for ordinary individuals but relatively large for those elite solutions. Therefore, the success rate of disturbance for elite individuals is very low. The similar conclusion can be found from some other EAs [17–20]. In general, the better the fitness value is, the smaller the disturbance amplitude is [17–20]. In a word, the disturbance amplitude of ordinary and elite solutions should be treated in a different way. PSO [7, 16] is another important EA, which is similar to the ABC in evolution mechanism. Kennedy et al. [15] proposed a novel search equation in PSO shown as follows:

$$P_i = \frac{c_1 \times pbest_i + c_2 \times gbest}{c_1 + c_2} \quad (10)$$

Where c_1 and c_2 are two learning coefficients, $pbest$ is the personal best position, $gbest$ is the population best solution found so far.

Based on the Eq. (10), a novel equation is proposed in [19]:

$$X_i = N\left(\frac{gbest + pbest_i}{2}, |gbest - pbest_i|\right) \quad (11)$$

where N denotes a Gaussian distribution of mean $(gbest + pbest_i)/2$ and standard deviation $|gbest - pbest_i|$. By using a Gaussian distribution in Eq. (11). The information around $pbest$ and $gbest$ is exploited.

Inspired by Eq. (11), a similar Gaussian search equation of ABC is proposed only for elites in employed bee phase which is shown as follows:

$$V_{i,j} = N\left(\frac{X_{best,j} + X_{i,j}}{2}, |X_{best,j} - X_{i,j}|\right) \quad (12)$$

Where $X_{i,j}$ is the j th element of elite X_i ; $X_{best,j}$ is the j th element of the global best found so far; j is randomly selected from $\{1, 2, \dots, D\}$. By way of the Eq. (12), the elite solutions in employed bee phase search around X_{best} , which can improve the exploitation ability of ABC and the success rate of disturbance for elite solutions.

On the other hand, the ordinary solutions in employed bee phase will still use the same equation as the ABC-elite (i.e., Eq. (8)), which will lay emphasis on exploration. Because ordinary solutions account for the majority of population while elite solutions only account for a small proportion p ($p = 0.1$ in [5]), the employed bee phase will still focus on exploration, which also conform to the design principle of the ABC [13]. Similar to the labor-division strategy in literatures [18] and [19], the ordinary solutions with low fitness can focus on locating the unexplored region, whilst the elite solutions with high fitness can perform local search on the most promising explored regions. In this way, it is beneficial to obtain a better balance between exploration and exploitation for the improved algorithm.

4.2. The Improvement in Employed Bee Phase

In the search Eq. (9) of ABC_elite, $(X_{e,j} + X_{best,j})/2$ in the right-hand side can be called base vector, and the second term $\phi_{e,j}(X_{best,j} - X_{k,j})$ in the right-hand side can be called disturbance vector. The meaning of the Eq. (9) is that the j th element of candidate solution V_e will be produced by imposing the disturbance $\phi_{e,j}(X_{best,j} - X_{k,j})$ on the base vector $(X_{e,j} + X_{best,j})/2$. It is worth noting that only elite solutions in the onlooker bee phase of ABC_elite have a chance of producing candidate solutions, which will enhance the exploitation ability of ABC. In the Eq. (9), three kind of individuals are involved, i.e. the elite individuals X_e , the global best individual X_{best} , and the ordinary individual X_k . Because the fitness value of X_e and X_{best} is generally far better than the ordinary individual X_k , the disturbance vector $\phi_{e,j}(X_{best,j} - X_{k,j})$ is relatively large for base vector $(X_{e,j} + X_{best,j})/2$. The relatively large disturbance $\phi_{e,j}(X_{best,j} - X_{k,j})$ embodies the exploration ability of ABC_elite, and the excellent $(X_{e,j} + X_{best,j})/2$ embodies the exploitation ability of ABC_elite, thus the balance between exploration and exploitation can be maintained. It can be seen from Fig.1, which is illustrated by literature [5], the candidate solution V_e can be only generated at the red axis, which is closer to the current best solution when $\phi_{e,j}(X_{best,j} - X_{k,j})$ is small, but is far away from the current best solution when X_k is inferior and $\phi_{e,j}(X_{best,j} - X_{k,j})$ is big.

Therefore, this design can result in the lack of exploitation ability, especially in the mid-late stage of evolution process because the demand of exploitation ability in EAs is not constant from the beginning to the ending. Generally speaking, for an EA, high exploration ability is required in the beginning to find more potential positions, while high exploitation ability is needed for convergence in the end. This conclusion can also be found in some other EAs, one of the most remarkable instance is the w PSO [25], in which linearly diminished weight is used so as to gradually increase the exploitation ability of PSO.

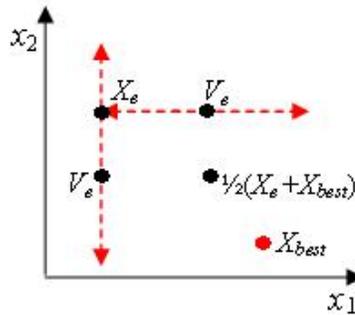


Fig. 1. Evolution process of a solution according to Eq.(9).

Because the randomly selected elite individual $X_{e'}$ has better fitness value than ordinary individual X_k in general and thus $|X_{best,j} - X_{e',j}| < |X_{best,j} - X_{k,j}|$ with a high probability, if X_k is replaced with another randomly selected elite $X_{e'}$ in the Eq. (9), the disturbance of $\phi_{e,j}(X_{best,j} - X_{k,j})$ to the base vector $(X_{e,j} + X_{best,j})/2$ will be diminished, thus the exploitation ability of the Eq. (9) will be strengthened. Based on the above observation, a novel search equation used in the onlooker bee phase is proposed as follows:

$$V_{e,j} = \frac{1}{2}(X_{e,j} + X_{best,j}) + \phi_{e,j}(X_{best,j} - X_{e',j}) \quad (13)$$

Where $X_{e'}$ is a randomly selected elite solution, e' not equal to e ; the rest of Eq. (13) is same as that in Eq. (9).

Based on the above analysis, the Eq. (13) has a high exploitation ability than that of the Eq. (9) by imposing a small disturbance $\phi_{e,j}(X_{best,j} - X_{k,j})$ on the base vector $(X_{e,j} + X_{best,j})/2$. However, both the exploration ability and exploitation ability are needed in EAs. If all bees produce new food sources using the Eq. (13), the algorithm can easily get trapped in the local optima when solving complex multi-modal problems. In other words, the Eq. (9) is insufficient in exploitation ability, while Eq. (13) is inadequate in exploration ability. To address this contradiction, we propose a new search mechanism in which the selective probability P_o is introduced to balance the exploration of Eq. (9) and the exploitation of Eq. (13). If the randomly generated number in $[0,1]$ is less than P_o , the Eq. (9) will be executed, otherwise the Eq. (13) will be executed. Because the demand of exploitation ability in EAs is gradually increased, the parameter P_o will be diminished linearly from 1 to 0. (see Lines 20 to 26 in Algorithm 1).

By combining Eq. (8) and (12) used in the employed bee phase, the Eq. (9) and (13) used in the onlooker bee phase and the selective probability P_o used to select the Eq. (9) and (13), an improved ABC_elite, IABC_elite for short, is proposed. The pseudo-code of IABC_elite is given in Algorithm 1.

Compared with the original ABC_elite, the IABC_elite adds no additional computation load, the whole structure of IABC_elite is the same as ABC_elite. The only difference between the two algorithms lies in their search equations. Therefore, the total complexity of the IABC_elite is the same as that of the ABC_elite. Now that the complexity of ABC_elite is $O(D * SN)$ [5], the complexity of IABC_elite is also $O(D * SN)$, which is also the same as original ABC [5].

The major difference between ABC_elite and IABC_elite is that ABC_elite employ only one search equation Eqs. (8) and (9) in the employed bee phase and onlooker bee phase, respectively, while IABC_elite adopts two different search equations in each phase. When the experimental results are analyzed, it is shown that the integration of search equations is a better option than the single search equation used in ABC_elite because each search equation contributes the local search ability or global search ability, thus, the global-local search abilities are better balanced by using different search equations.

5. Experiments and Discussions

To investigate the effectiveness of the proposed algorithm IABC_elite, the IABC_elite algorithm is compared with the original ABC, BABC, ABC_elite, EABC, ABCVSS and

DFSABC_elite. We selected these ABC variants for comparison because the search equation of the basic ABC algorithm is improved in these recently developed methods. DFS-ABC_elite is a composite algorithm consisting of the ABC_elite and the depth-first framework, showing relatively good performance when compared with other state-of-the-art algorithms.

5.1. Benchmark Functions and Parameter Settings

To analyze and compare the performance and accuracy of the proposed algorithm IABC_elite, a set of 22 benchmark functions with dimension $D = 30$ are used in the experiments. For instance, $f_1 - f_6$ and f_8 are the continuous unimodal functions; f_7 is a discontinuous step function; f_9 is a noisy quartic function. f_{10} is the Rosenbrock function which is unimodal for $D = 2$ and $D = 3$, while it may have multiple optimal solutions when $D > 3$. $f_{11} - f_{22}$ are multi-modal functions, and the number of their local optimal points increases exponentially with the problem dimension. The search range, the global optimal value, the acceptant value of each function and their definitions can be found in the literature [5]. When the objective function value of the best solution obtained by an algorithm in a run is less than the acceptant value, the run is regarded as a successful one. The performance evaluation metrics are the same as those in the literature [5], which are described as follows: (1) The mean and standard deviation of the best objective function value are obtained by each algorithm, which are used to evaluate the quality or accuracy of the solutions obtained by different algorithms. The smaller the value of this metric is, the higher quality/accuracy the solution has; (2) The average FES (AVEN) is required to reach the acceptant value, which is employed to evaluate the convergence speed. The smaller the value of this metric is, the faster the convergence speed is. Note that AVEN will only be calculated for the successful runs. If an algorithm cannot find any solution whose objective function value is smaller than the acceptant value in all runs, AVEN will be denoted by NA; (3) The success rate (SR%) of the 25 independent runs is utilized to evaluate the robustness or reliability of different algorithms. The greater the value of this metric is, the better the robustness/reliability is.

The parameter settings in the two experiments evaluated in the present paper have used the same settings of the ABC_elite [5], and the maximal function evaluation (max_FES) is employed as the termination condition, which is set to 150000. For all the algorithms, SN is set to 50, $D = 30$, $limit = SN \cdot D$; For the ABC_elite and DFSABC_elite, p is set at 0.1. The parameter settings of all the other algorithms are set as suggested in their original papers shown in Table 1. All the algorithms are conducted with 25 independent runs for each test function.

In the two experiments evaluated in this paper, Experiment 1 is used to validate the effectiveness and efficiency of the improved algorithm (IABC_elite). Experiment 2 is used to further evaluate the performance of IABC_elite, when compared to other ABC variants developed recently.

The results of Experiment 1 and Experiment 2 are given in Table 2 and Table 3, respectively. The better results of these two experiments are marked with boldface, and the paired Wilcoxon [6] signed-rank test is used to compare the significance between the two algorithms. The signs -, +, and = denotes that the performance of the corresponding algorithm is worse than, better than and similar to that of the IABC_elite, respectively,

Algorithm 1 The procedure of IABC_elite

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1: Initialization:Generate  $SN$  solutions that contain variables according to Eq. (1);
2: while  $Fes < max\_Fes$  do
3:   Select the top  $T = p.SN$  solutions as elite solutions from population;
4:   for  $i = 1$  to  $SN$  do
5:     //employed bee phase
6:     if  $i$  is an elite solution then
7:       Generate a new candidate solution  $V_i$  in the neighborhood of  $X_i$  using Eq.(12);
8:     else
9:       Generate a new candidate solution  $V_i$  in neighborhood of  $X_i$  using Eq.(8);
10:    end if
11:    Evaluate the new solution  $V_i$ ;
12:    if  $f(V_i) < f(X_i)$  then
13:      Replace  $X_i$  by  $V_i$ ;
14:      counter( $i$ )=0;
15:    else
16:      counter( $i$ )= counter( $i$ )+1;
17:    end if
18:  end for//end employed bee phase
19:  for  $i = 1$  to  $SN$  do
20:    //onlooker bee phase
21:    Select a solution  $X_e$  from elite solutions randomly to search;
22:     $P_o = 1 - Fes/max\_Fes$ ;
23:    if  $rand(0, 1) < P_o$  then
24:      Generate a new candidate solution  $V_e$  in neighborhood of  $X_e$  using Eq.(9);
25:    else
26:      Select a solution  $X_{e'}$  from elite solutions randomly, where  $e'$  not equal to  $e$ ;
27:      Generate a new candidate solution  $V_e$  using Eq.(13);
28:    end if
29:    Evaluate the new solution  $V_e$ ;
30:    if  $f(V_e) < f(X_e)$  then
31:      Replace  $X_e$  by  $V_e$ ;
32:      counter( $e$ )=0;
33:    else
34:      counter( $e$ )= counter( $e$ )+1;
35:    end if
36:  end for//end onlooker bee phase
37:   $Fes = Fes + SN*2$ ;
38:  Select the solution  $X_{max}$  with max counter value; //Scout bee phase
39:  if counter( $max$ )  $> limit$  then
40:    Replace  $X_{max}$  by a new solution generated according to Eq.(1);
41:     $Fes = Fes + 1$ , counter( $max$ ) = 0;
42:  end if//end scout bee phase
43: end while

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Table 1. Parameters setting used in all experiments.

Algorithm	Parameters setting
ABC	$SN = 50, limit = SN \cdot D$
EABC	$SN = 50, limit = SN \cdot D, \mu = 0.3, \delta = 0.3, A = 1$
BABC	$SN = 50, limit = SN \cdot D$
ABCVSS	$SN = 50, limit = SN \cdot D, c = 2$
ABC_elite	$SN = 50, limit = SN \cdot D, p = 0.1$
DFSABC_elite	$SN = 50, limit = SN \cdot D, p = 0.1, r = 1/p$
IABC_elite	$SN = 50, limit = SN \cdot D, p = 0.1$

according to Wilcoxon's rank test [6] at a 0.05 significance level. The last row in Table 2 and Table 3 each summarizes the comparison results.

5.2. Benchmark Functions and Parameter Settings

In this experiment, in order to validate the effectiveness and efficiency of IABC_elite, the IABC_elite is compared with the ABC [13], BABC [8], ABC_elite [5] respectively. The results are shown in Table 2.

It can be clearly observed from Table 2 that the IABC_elite outperforms all the other algorithms significantly in most of tested functions in terms of solution accuracy and convergence speed according to mean (std) and AVEN, respectively.

(1) *The comparative results of unimodal functions:* $f_1 - f_9$ are unimodal functions. For functions $f_1 - f_6$, IABC_elite demonstrates best performance in terms of solution accuracy and convergence speed according to mean(std) and AVEN, respectively. Because functions f_7 and f_8 are easy to solve [5], the solution accuracy of all algorithms of this two functions are similar, but IABC_elite has achieved better results regarding convergence speed. All in all, the results of IABC_elite are better or at least similar to all other compared algorithms in all unimodal functions according to all test metrics.

The advantage of the IABC_elite on unimodal is due to the novel Eq. (12) and Eq. (13), which can further enhance the exploitation ability of ABC_elite.

(2) *The comparative results on multimodal functions:* In multimodal functions $f_{10} - f_{22}$ of Table 2, IABC_elite also demonstrates good performance. Firstly, in the solution accuracy, the IABC_elite are better than or at least comparable to all other compared algorithms in all multimodal functions except for only 2 functions (f_{10} and f_{18}). Secondly, in the convergence speed AVEN, the IABC_elite performs better than or at least comparable to all its competitors in all multimodal functions only except for the ABC_elite on f_{22} . Thirdly, in the metric SR, the IABC_elite are better than or at least comparable to all other compared algorithms on all multimodal functions. The advantage of IABC_elite on multimodal is due to the introduced parameter P_o , which helps the IABC_elite to maintain a better balance between exploration and exploitation.

The convergence curves of these involved algorithms are shown in Fig.2. Because the length of this paper is limited, only the convergence of 4 functions are given. From Fig.2, it can be seen that the IABC_elite can achieve the fastest convergence speed and best accuracy among the involved 4 algorithms.

Table 2. The comparative results of ABC, BABC, ABC_elite and IABC_elite when $D=30$.

No.	metric	ABC	BABC	ABC_elite	IABC_elite
f_1	Mean(std)	1.04e-17(1.20e-17)-	1.14e-43(1.77e-43)-	3.33e-50(5.34e-50)-	2.20e-105(7.23e-105)
	SR/AVEN	100/83,702	100/43,530	100/32,166	100/19,617
f_2	Mean(std)	4.38e-10(4.72e-10)-	4.18e-30(3.33e-17)-	2.08e-45(4.36e-45)-	1.31e-102(5.39e-102)
	SR/AVEN	100/136,290	100/83,026	100/45,930	100/25,960
f_3	Mean(std)	1.14e-19(9.89e-20)-	7.40e-15(3.70e-14)-	9.21e-51(8.50e-51)-	2.45e-107(1.17e-106)
	SR/AVEN	100/75,402	100/38,022	100/30,678	100/18,615
f_4	Mean(std)	2.02e-31(5.30e-31)-	4.96e-90(1.54e-89)-	1.69e-95(5.46e-95)-	1.52e-168(1.52e-168)
	SR/AVEN	100/23,578	100/11,222	100/10,662	100/6945
f_5	Mean(std)	7.69e-11(3.04e-11)-	1.61e-24(8.21e-25)-	6.59e-26(3.04e-26)-	9.04e-56(2.07e-55)
	SR/AVEN	100/124,870	100/58,046	100/54,874	100/30,280
f_6	Mean(std)	4.39e+00(1.07e+00)-	1.71e+00(1.15e+00)-	2.66e+00(1.75e+00)-	1.33e-02(1.07e-02)
	SR/AVEN	0/NA	32/122,490	80/104,250	100/68,600
f_7	Mean(std)	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)
	SR/AVEN	100/10,994	100/9426	100/94,740	100/7650
f_8	Mean(std)	7.18e-66(5.21e-73)=	7.18e-66(2.04e-77)=	7.18e-66(1.20e-79)=	7.18e-66(1.19e-81)
	SR/AVEN	100/150	100/150	100/150	100/150
f_9	Mean(std)	6.02e-02(1.09e-2)-	2.70e-02(8.28e-03)-	1.90e-02(4.83e-03)-	1.36e-02(3.70e-03)
	SR/AVEN	100/91,786	100/35,582	100/31,034	100/18,665
f_{10}	Mean(std)	5.45e-02(5.86e-02)+	3.97e-02(4.96e-02)+	1.47e-01(5.18e-01)+	5.6e-01(1.15e+00)
	SR/AVEN	88/11,014	100/83,026	84/78,817	70/65,792
f_{11}	Mean(std)	3.50e-14(1.35e-13)-	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)
	SR/AVEN	100/99,134	100/41,354	100/41,522	100/27,575
f_{12}	Mean(std)	1.70e-12(4.36e-12)-	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)
	SR/AVEN	100/112,080	100/49,050	100/44,206	100/30,175
f_{13}	Mean(std)	2.36e-14(5.62e-14)-	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)
	SR/AVEN	100/94,862	100/42,942	100/39,826	100/30,087
f_{14}	Mean(std)	4.58e-12(1.59e-12)-	2.18e-13(7.80e-13)-	1.16e-12(1.65e-12)-	1.09e-13(3.25e-13)
	SR/AVEN	100/82,946	100/50,418	100/42,794	100/41,826
f_{15}	Mean(std)	4.31e-09(1.85e-09)-	5.65e-15(1.33e-15)=	6.08e-15(7.10e-16)-	5.52e-15(3.21e-16)
	SR/AVEN	100/145,410	100/65,210	100/63,606	100/35,210
f_{16}	Mean(std)	1.03e-18(6.90e-19)-	8.98e-14(4.49e-13)-	1.57e-32(5.59e-48)=	1.57e-32(3.42e-48)
	SR/AVEN	100/77,346	100/40,542	100/30,362	100/17,660
f_{17}	Mean(std)	4.88e-18(5.03e-18)-	1.50e-33(8.28e-33)=	1.50e-33(0.00e+00)=	1.50e-33(0.00e+00)
	SR/AVEN	100/86,542	100/40,810	100/32,470	100/19,055
f_{18}	Mean(std)	2.35e-06(1.66e-06)-	3.33e-17(1.28e-16)+	8.88e-18(4.44e-17)+	3.69e-16(8.23e-16)
	SR/AVEN	0/NA	100/55,262	100/57,226	100/42,280
f_{19}	Mean(std)	4.46e-14(5.39e-14)-	1.35e-31(2.23e-47)=	1.35e-31(2.23e-47)=	1.35e-31(2.23e-47)
	SR/AVEN	100/90,558	100/36,362	100/33,206	100/22,180
f_{20}	Mean(std)	2.06e-02(2.35e-02)-	2.63e-05(1.32e-04)-	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)
	SR/AVEN	0/NA	96/80,696	100/72,506	100/28,025
f_{21}	Mean(std)	-78.332(0.00e+00)=	-78.332(1.23e-14)=	-78.332(8.70e-15)=	-78.332(4.61e-15)
	SR/AVEN	100/26,594	100/10,992	100/11,194	100/9530.0
f_{22}	Mean(std)	-29.999(6.36e-04)-	-30.000(1.92e-06)=	-30.000(0.00e+00)=	-30.000(0.00e+00)
	SR/AVEN	100/25,458	100/14,822	100/15,210	100/19,525
$+/-$		1/3/18	2/10/10	2/11/9	-

Table 3. The comparative results of EABC, ABCVSS, DFSABC_elite and IABC_elite when $D=30$.

No.	metric	EABC	ABCVSS	DFSABC_elite	IABC_elite
f_1	Mean(std)	5.85e-62(2.90e-61)-	2.40e-35(8.54e-35)-	4.14e-82(8.76e-82)-	2.20e-105(7.23e-105)
	SR/AVEN	100/27,982	100/50,526	100/21,410	100/19,617
f_2	Mean(std)	9.26e-60(1.41e-59)-	2.29e-27(9.79e-27)-	5.37e-78(8.66e-78)-	1.31e-102(5.39e-102)
	SR/AVEN	100/39,006	100/78,802	100/28,674	100/25,960
f_3	Mean(std)	4.50e-65(5.16e-65)-	9.40e-37(2.54e-36)-	2.84e-83(4.66e-83)-	2.45e-107(1.17e-106)
	SR/AVEN	100/25,826	100/46,222	100/19,710	100/18,615
f_4	Mean(std)	9.57e-33(3.42e-32)-	4.31e-44(1.40e-43)-	2.41e-110(1.19e-109)-	1.52e-168(1.52e-168)
	SR/AVEN	100/84,180	100/15,818	100/7122	100/6945
f_5	Mean(std)	9.45e-34(8.43e-34)-	7.03e-19(2.18e-18)-	2.06e-42(2.08e-42)-	9.04e-56(2.07e-55)
	SR/AVEN	100/42,198	100/72,958	100/33,426	100/30,280
f_6	Mean(std)	2.43e+01(5.22e+00)-	2.56e-01(9.19e-02)-	5.08e-07(3.69e-07)+	1.33e-02(1.07e-02)
	SR/AVEN	0/NA	100/111,070	100/32,802	100/68,600
f_7	Mean(std)	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)
	SR/AVEN	100/7602.0	100/10,042	100/7534	100/7450
f_8	Mean(std)	7.18e-66/(7.49e-67)=	7.18e-66(9.98e-78)=	7.18e-66(3.23e-81)=	7.18e-66(1.19e-81)
	SR/AVEN	100/150	100/150	100/150	100/150
f_9	Mean(std)	1.65e-02(3.68e-03)-	2.57e-02(5.22e-03)-	1.20e-02(3.80e-03)+	1.36e-02(3.70e-03)
	SR/AVEN	100/23,398	100/40,846	100/16,878	100/18,665
f_{10}	Mean(std)	1.14e+00(2.94e+00)-	3.25e-02(4.58e-02)+	3.45e+00(1.45e+01)-	5.6e-01(1.15e+00)
	SR/AVEN	100/85,233	96/86,483	60/58,683	70/65,792
f_{11}	Mean(std)	3.82e-02(1.91e-01)-	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)
	SR/AVEN	96/34,067	100/51,966	100/27,754	100/27,575
f_{12}	Mean(std)	1.20e-01(3.32e-01)-	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)
	SR/AVEN	88/36,005	100/60,578	100/28,602	100/30,175
f_{13}	Mean(std)	4.29e-08(2.14e-07)-	3.45e-11(1.73e-10)-	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)
	SR/AVEN	96/35,654	100/69,514	100/31,066	100/30,087
f_{14}	Mean(std)	3.35e-12(8.60e-13)-	1.60e-12(3.45e-13)-	4.37e-13(1.09e-12)-	1.09e-13(3.25e-13)
	SR/AVEN	100/38,454	100/52,906	100/34,430	100/41,826
f_{15}	Mean(std)	2.73e-05(1.36e-04)-	6.50e-15(2.27e-15)=	3.80e-15(1.69e-15)+	5.52e-15(3.21e-15)
	SR/AVEN	96/49,888	100/80,074	100/37,998	100/35,210
f_{16}	Mean(std)	1.57e-32(5.59e-48)=	1.57e-32(5.59e-48)=	1.57e-32(5.59e-48)=	1.57e-32(3.42e-48)
	SR/AVEN	100/24,862	100/46,142	100/18,902	100/17,660
f_{17}	Mean(std)	1.50e-33(0.00e+00)=	1.50e-33(0.00e+00)=	1.50e-33(0.00e+00)=	1.50e-33(0.00e+00)
	SR/AVEN	100/22,540	100/48,154	100/20,970	100/19,055
f_{18}	Mean(std)	6.00e-17(3.41e-16)+	6.26e-18(2.91e-17)+	3.10e-40(1.03e-39)+	3.69e-16(8.23e-16)
	SR/AVEN	100/42,578	100/80,966	100/40,454	100/42,280
f_{19}	Mean(std)	1.35e-31(2.23e-47)=	1.35e-31(2.23e-47)=	1.35e-31(2.23e-47)=	1.35e-31(2.23e-47)
	SR/AVEN	100/26,762	100/48,330	100/24,890	100/22,180
f_{20}	Mean(std)	6.03e-03(1.30e-02)-	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)=	0.00e+00(0.00e+00)
	SR/AVEN	64/58,950	100/93,050	100/55,910	100/28,025
f_{21}	Mean(std)	-78.332(2.90e-15)=	-78.332(1.05e-14)=	-78.332(5.02e-15)=	-78.332(4.61e-15)
	SR/AVEN	100/8538.0	100/13,038	100/6502.0	100/9530.0
f_{22}	Mean(std)	-30.000(1.51e-06)=	-30.000(3.82e-12)=	-30.000(0.00e+00)=	-30.000(0.00e+00)
	SR/AVEN	100/12,602	100/18,726	100/5270.0	100/19,525
+/-		1/7/14	2/11/9	4/11/7	-

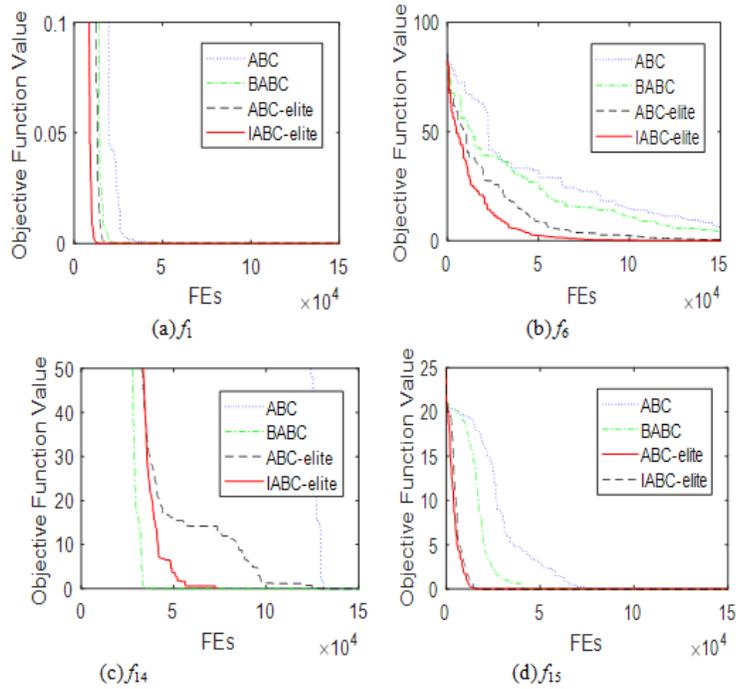


Fig. 2. The convergence curves of ABC, BABC, ABC_elite and IABC_elite on 4 representative test

5.3. Experiment 2: comparison of the IABC_elite and other ABC variants

In this section, in order to further evaluate the performance of IABC_elite, the IABC_elite is compared with 3 recently developed representative ABC variants, i.e., the EABC [12], ABCVSS [23], DFSABC_elite [5] on all 22 test functions with $30D$. The parameter settings are shown in Table 1, and the termination condition max_FES is the same as experiment 1 ($max_FES = 150000$). All the compared ABC variants have proposed an improved search equation. It's worth noting that the DFSABC_elite is a composite algorithm consisting of the ABC_elite and depth-first strategy (DFS). The comparative results are shown in Table 3.

(1) *The comparative results on unimodal functions:*

$f_1 - f_9$ are unimodal functions. For functions $f_1 - f_5$, According to Table 3, the IABC_elite performs significantly better than all compared algorithms regarding solution accuracy (mean(std)) and convergence speed (AVEN), and all algorithms obtain the same results in the success rate (SR). For functions $f_7 - f_8$, although all the algorithms get the similar performance regarding solution accuracy and success rate because $f_7 - f_8$ are easy to solve [5], the convergence speed of the IABC_elite is faster than or at least comparable to all the competitors. For functions f_6 and f_9 , the IABC_elite is only second to the DFSABC_elite regarding solution accuracy and convergence speed, while IABC_elite exhibits best success rate, beating all its competitors. In a word, the IABC_elite shows the best overall performance in unimodal functions.

(2) *The comparative results on multimodal functions:*

f_{10} – f_{22} are multimodal functions. f_{10} is Rosenbrock function and its global optimum is inside a long, narrow, parabolic shaped flat valley, the variables are strongly dependent, and the gradients do not generally point towards the optimum. If the population is guided by the global best solution or some other good solutions, the search will fall into some unpromising areas. Therefore, DFSABC_elite is beaten by all the competitors, even original ABC is also far better than DFSABC_elite in function f_{10} . This phenomenon reflects the defect of DFS strategy used in DFSABC_elite. Because the DFS strategy always search a direction greedily, it tends to result in lacking of randomness of EA and make it trapped into local optima. And the same conclusion can be drawn from literature [5] (see Table 3 of literature [5]). For function f_{10} , the IABC_elite is better than the DFSABC_elite and EABC, but still worse than ABCVSS slightly, regarding solution accuracy.

The last row of the Table 3 summarizes the comparison results. It can be seen that the IABC_elite exhibits significantly advantage when compared with other algorithms. In the comparison with the DFSABC_elite, IABC_elite wins over it in 7 functions, ties in 11 functions while lost on 4 functions regarding solution accuracy. Although the DFSABC_elite has combined with the DFS strategy, IABC_elite still outperform it. Similarly, the IABC_elite performs better than the EABC and ABCVSS on most of the test functions regarding solution accuracy.

Overall, the IABC_elite still performs better than all other algorithms on most of multimodal functions.

6. Conclusions

In order to increase the exploitation ability of the ABC_elite and seek a better balance between the abilities of exploration and exploitation, an improved ABC_elite (the IABC_elite) algorithm is put forward in this paper, combining two novel search equation and a new parameter with ABC_elite. The first search equation is used in employed bee phase, thus the elite solutions and ordinary solutions adopt different search equation. The second search equation is used in the onlooker bee phase to further enhance the exploitation of the ABC_elite. The new parameter P_o is introduced to maintain the balance between the ability of exploration and that of exploitation. The experiment results have shown that the IABC_elite can significantly improve the performance of ABC_elite. When further compared to other state-of-the-art ABC variants, IABC_elite also exhibits the best overall performance.

Acknowledgments. This work has been supported by the National Natural Science Foundation of China (No. 61373028 and No. 61672338).

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Zhenxin Du received the B.S. degree in computer science from Zhejiang Sci-tech University in 2011. He is currently a Ph.D. candidate at Shanghai Maritime University. His main research interests include computation intelligence, data mining and cloud computing.

Dezhi Han (corresponding author) received the Ph.D. degree from Huazhong University of Science and Technology. He is currently a professor of computer science and engineering at Shanghai Maritime University. His research interests include cloud computing, mobile networking and cloud security.

Guangzhong Liu received the Ph.D. degree from China University of Mining and Technology. He is currently a professor of computer science and engineering at Shanghai Maritime University. His specific research interests include underwater acoustic communication technology, mobile networking and network security.

Kun Bi received the Ph.D. degree from University of Science and Technology of China. He is currently a lecture of computer science and engineering at Shanghai Maritime University. His research interests include network security, big data and cloud security.

Jianxin Jia received the M.S. degree from Shanghai Maritime University of computer science and engineering. He is currently pursuing the Ph.D. degree at Shanghai Maritime University. His main research interests include mobile networking, underwater acoustic communication technology and cloud security.

Received: January 2, 2017; Accepted: September 1, 2017.

